

Rev. 04/01

Docket No. FNC-002 CON

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Poindexter et al.
Application No.: 09/989,833 Confirmation No. 2178
Filed: : November 19, 2001
For: : SYSTEM FOR ENTERPRISE-WIDE WORK FLOW
AUTOMATION
Group Art Unit : 3723

Hon. Commissioner
for Patents
c/o P.O. Box 2327
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TRANSMITTAL LETTER FOR
INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith is an Information Disclosure
Statement in the above-identified application. This
Statement is submitted:

- ☐ [] within three months of the application filing
date;
- ☒ [X] more than three months from the application
filing date but before the mailing date of
the first Office Action on the merits.

In accordance with 37 C.F.R. § 1.97, submission of
this Statement requires no fee. However, if for any reason
a fee is due, the Director is hereby authorized to charge
payment of any fees required in connection with this
Information Disclosure Statement to Deposit Account

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No. 06-1075. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,



Nicola A. Pisano
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INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98,
applicants hereby make the following documents of record in
the above identified application: *

U.S. Patent Documents

6,073,109	06/2000
6,041,306	03/2000
6,014,673	01/2000
6,006,193	12/1999
5,991,733	11/1999
5,960,404	07/1999
5,940,804	08/1999
5,931,917	08/1999

Flores et al.
Du et al.
Davis et al.
Gibson et al.
Aleia et al.
Chaar et al.
Turley et al.
Nguyen et al.

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* Applicants reserve the right to challenge the status of any
of the cited documents as prior art.

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5,920,725	07/1999	Ma et al.
5,881,238	03/1999	Aman et al.
5,870,545	02/1999	Davis et al.
5,864,679	01/1999	Kanai et al.
5,819,092	10/1998	Ferguson et al.
5,745,901	04/1998	Entner et al.
5,710,921	01/1998	Hirose
5,680,548	10/1997	Trugman
5,634,127	05/1997	Cloud et al.
5,321,841	06/1994	East et al.
5,216,603	06/1993	Flores et al.
5,155,858	10/1992	DeBruler et al.
5,125,075	06/1992	Goodale et al.
5,113,393	05/1992	Kam et al.
4,932,026	06/1990	Dev et al.
4,754,428	06/1988	Schultz et al.
4,713,780	12/1987	Schultz et al.
4,648,061	03/1987	Foster
4,532,588	07/1985	Foster
4,503,499	03/1985	Mason et al.

Foreign Patent Documents

0 793 184 A2	09/1997	Europe
0 457 684 A2	11/1991	Europe

Other Documents

Compton, M.M. et al., "Intelligent Validation and Routing of Electronic Forms in a Distributed Workflow Environment," Proceedings of the Tenth Conference on Artificial Intelligence for Applications, 125-131 (1994).

Herschmann, D., "The Effects of Practical Business Constraints on User Interface Design," Human Factors in Computing Systems, CHI '95 Conference Proceedings, 531-537 (1995).

Schildt, Herbert, Using Turbo C++ (1990).

Tsichritzis, D., Office Automation, Springer-Verlag Berlin Heidelberg (Tsichritzis ed.), pp. 379-398, 1985.

User Manual, Getting Started with Visual WorkFlo, Release 1.0, Part No. 6576-105, FileNet Corporation, Costa Mesa, California (December 1994).

In accordance with 37 C.F.R. § 1.98 (d), copies of the above-cited documents, all of which were made of record in U.S. Patent Application No. 08/899,408, from which priority is claimed under 35 U.S.C. § 120, are not submitted herewith.

It is respectfully requested that these documents be (1) fully considered by the Patent and Trademark Office during the examination of this application; and (2) printed on any patent that may issue on this application. Applicants request that a copy of Form PTO-1449 (submitted in duplicate herewith), as considered and initialed by the Examiner, be returned with the next communication.

An early and favorable action is respectfully requested.

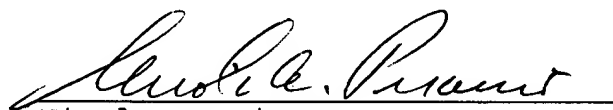
Respectfully submitted,

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FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. FNC-002 CON	SERIAL NO. 09/989,833
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		APPLICANT Poindexter et al.	
		FILING DATE November 19, 2001	GROUP 3723

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,073,109	06/2000	Flores et al.			
	6,041,306	03/2000	Du et al.			
	6,014,673	01/2000	Davis et al.			
	6,006,193	12/1999	Gibson et al.			
	5,991,733	11/1999	Aleia et al.			
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	5,634,127	05/1997	Cloud et al.			
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	4,754,428	06/1988	Schultz et al.			
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	4,648,061	03/1987	Foster			
	4,532,588	07/1985	Foster			
	4,503,499	03/1985	Mason et al.			

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

